



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/955,677	09/19/2001	Shao-Wen Hsia	50324-1141	1411

25700 7590 09/26/2002

FARJAMI & FARJAMI LLP
16148 SAND CANYON
IRVINE, CA 92618

EXAMINER

SOWARD, IDA M

ART UNIT

PAPER NUMBER

2822

DATE MAILED: 09/26/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/955,677

Applicant(s)

HSIA ET AL.

Examiner

Ida M Soward

Art Unit

2822

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ____ MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☐ Claim(s) ____ is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

Art Unit: 2822

DETAILED ACTION

This Office Action is in response to the application filed September 19, 2001.

Claim Objections

Claims 9 and 15-16 are objected to because of the following informalities:

“arc” should have been ARC in claim 9, line 4;

“Amgstrans” should have been Angstroms in claims 15-16, lines 22 and 25, respectively; and

“8.000” should have been 8,000 in claim 16, line 24.

Appropriate correction is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 5-11, 13-14 and 17-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Prior Art Figures 1a-3b in view of Iyer et al. (6,121,133).

Prior Art Figures 1a-3b teach a semiconductor workpiece, comprising: a metal layer **314** an organic ARC layer **312** disposed on the metal layer; a photoresist layer

Art Unit: 2822

310 disposed on the ARC layer opposite the metal layer; a barrier layer **316** disposed on the metal layer opposite the ARC layer; a metallic stack; and residual photoresist **326 & 328**. However, Prior Art Figures 1a-3b fail to teach an inorganic dielectric ARC layer. Iyer et al. teach a silicon oxynitride inorganic dielectric ARC layer **206** (Figures 2A-2G, cols. 8-9, lines 13-67 and 1-21). Iyer et al. further teach an oxide layer **202** formed on a wafer **200**; at least one microelectronic structure extending from the oxide layer; a barrier layer **226** disposed on the oxide layer (col. 2, lines 55-56); and photoresist thickness of 0.7 to 1 microns (col. 7, lines 49-52) which falls in the ranges 0.1 to 2 and 0.6 to 1 microns. In regard to layers being deposited by PECVD, note that a "product by process" claim is directed to the product per se, no matter how actually made, In re Hirao, 190 USPQ 15 at 17 (footnote 3). See also In re Brown, 173 USPQ 685; In re Luck, 177 USPQ 523; In re Wertheim, 191 USPQ 90 (209 USPQ 554 does not deal with this issue); In re Fitzgerald, 205 USPQ 594, 596 (CCPA); In re Marosi et al., 218 USPQ 289 (CAFC); and most recently, In re Thorpe et al., 227 USPQ 964 (CAFC, 1985) all of which make it clear that it is the final product per se which must be determined in a "product by process" claim, and not the patentability of the process, and that, as here, an old or obvious product produced by a new method is not patentable as a product, whether claimed in "product by process" claims or not. Note that Applicant has burden of proof in such cases as the above case law makes clear. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the workpiece of Prior Art Figures 1a-3b with the inorganic dielectric ARC layer of Iyer et al. to eliminate particle contamination.

Art Unit: 2822

Claims 4 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Prior Art Figures 1a-3b and Iyer et al. (6,121,133) as applied to claims 1-3, 5-6, 10-11 and 13-14 above, and further in view of Torek et al. (US 6,200,909 B1).

Prior Art Figures 1a-3b and Iyer et al. teach all mentioned in the rejection above. However, Prior Art Figures 1a-3b and Iyer et al. fail to teach an ARC layer having a substantially uniform thickness over topical non-planarities on a layer. Torek et al. teach an ARC layer **24** having a substantially uniform thickness over topical non-planarities on a layer **20** (Figure 3, col. 3, lines 1-59). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the workpiece of Prior Art Figures 1a-3b and the inorganic dielectric ARC layer of Iyer et al. with the uniform thickness of Torek et al. to prevent overexposure of the photoresist.

Claims 15-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Prior Art Figures 1a-3b and Iyer et al. (6,121,133) as applied to claims 1-3, 5-6, 10-11 and 13-14 above, and further in view of Huang et al. (6,166,427).

Prior Art Figures 1a-3b and Iyer et al. teach all mentioned in the rejection above. However, Prior Art Figures 1a-3b and Iyer et al. fail to teach metallic stack thickness of about 1,000 to 20,000 and 5,000 to 8,000 Angstroms. Huang et al. teach metallic stack thickness of about 8,000 to 10,000 Angstroms that falls in the ranges 1,000 to 20,000 and 5,000 to 8,000 Angstroms (col. 4, lines 1-3). Therefore, it would have been obvious

Art Unit: 2822

to one having ordinary skill in the art at the time the invention was made to modify the workpiece of Prior Art Figures 1a-3b and the inorganic dielectric ARC layer of Iyer et al. with the metallic stack thickness of Huang et al. to improve device performance.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

The following patents are cited to further show the state of the art with respect to semiconductor structures having inorganic dielectric ARC layers:

Ionov et al. (6,013,582)

Forbes et al. (US 6,261,751 B1)

Shamble et al. (6,121,156)

Yin et al. (US 2001/0028095 A1).


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ida M Soward whose telephone number is 703-305-3308. The examiner can normally be reached on Monday - Thursday, 6:30 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Carl Whitehead, Jr. can be reached on 703-308-4940. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9318 for regular communications and 703-872-9319 for After Final communications.

Art Unit: 2822

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

ims
September 18, 2002


CARL WHITEHEAD, JR.
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800